

DONOR ADVISOR INFORMATION

PRIMARY DONOR ADVISOR

First, Middle & Last Name: _____
SSN or FEIN: _____ Date of Birth: _____
Email Address: _____ Telephone: _____
Mailing Address: _____
Physical Address: _____

JOINT DONOR ADVISOR

First, Middle & Last Name: _____
SSN or FEIN: _____ Date of Birth: _____
Email Address: _____ Telephone: _____
Mailing Address (if different from above): _____
Physical Address (if different from above): _____

ACCOUNT TITLE

You may select any account title you wish, and you may include your name or any other name in the title. (For example: "John Smith Memorial Fund.") Your account will be titled "The [Primary Donor/Joint Donor] Family Foundation" unless you specify otherwise. _____

HOW DO YOU LIKE TO BE ADDRESSED IN CORRESPONDENCE TO YOU?

(i.e., Mr. & Mrs. Smith or John and Jane Smith)

FINANCIAL ADVISOR AUTHORIZATION?

Many donors desire the convenience of asking their financial advisor to submit grant recommendations on their behalf. **If you do not authorize** your financial advisor to enter grants on your behalf, please check here:

INTERNET ACCESS

Our donor website provides our online access for viewing your account and submitting Grant Recommendations online. Each current donor advisor is assigned a user ID and password when the account is established. **If you will not be accessing the account online** and do not want to receive a user ID and password, please check here:

INITIAL CONTRIBUTION - (MINIMUM: \$10,000)

Please indicate contribution type:

- Cash _____
- Securities (Please refer to page 4 for instructions on making a contribution and attach appropriate additional information as instructed.)

STATEMENTS

Donor advised statements are issued on a quarterly basis and may be viewed online. When the new statement is available for viewing online, we will send a reminder to the email address provided above. **If you prefer to have a statement mailed to you**, please check here:

GRANT CONFIRMATIONS

A confirmation is mailed and emailed for every grant. **If you prefer not to have your grant confirmation emailed to you**, please check here:

TO BE COMPLETED BY FINANCIAL ADVISOR

Name of donor's Financial Advisor: _____
Speed Dial Number: _____
Branch Number: _____
Representative Number: _____

Under policies of Raymond James Charitable, and in accordance with the anti-money laundering regulations applicable to the financial institutions that provide financial services to Raymond James Charitable, we obtain, record, and may verify information that identifies each person and entity who establishes a fund within Raymond James Charitable, and other people and entities who contribute or have access to the fund established. Raymond James Charitable and Raymond James Trust, N.A., as its trustee, will ask for the name, address, Social Security number, date of birth, and other information that will allow us to identify people associated with the fund. We may also ask to see driver's licenses or other identifying documents, and we may verify the information obtained.

RECOMMENDED INVESTMENT OF INITIAL CONTRIBUTION

There are three investment selection options depending on the contribution level. For contributions of under \$100,000, you may choose from Level One; for contributions of \$100,000 or more, you may choose from Level One or Level Two; and for contributions of \$500,000 or more, you may choose from Level One, Level Two or Level Three. Note that you may request a change to your investment allocation on a quarterly basis by submitting a Request for Change of Investment form.

I/We request our contribution be invested in one of the following levels. (If no selection is made, the account will be invested in the Money Market objective.)

SELECT ONE

LEVEL ONE: NO MINIMUM REQUIREMENT

Please check one:

- ▶ **MONEY MARKET OBJECTIVE:** Seeks the preservation of capital and the production of income exclusively through investment in a money market fund investing in the highest quality, very short-term debt instruments.
- ▶ **INCOME OBJECTIVE:** Seeks income and capital preservation primarily through the use of a mutual fund portfolio consisting of exposure to U.S. government and global corporate bonds.
- ▶ **INCOME WITH GROWTH OBJECTIVE:** Seeks income and provides a growth component through the use of a mutual fund portfolio consisting of exposure to U.S. government and global corporate bonds and a modest exposure to diversified common stocks.
- ▶ **BALANCED OBJECTIVE:** Seeks to provide a balanced allocation through the use of a mutual fund portfolio providing both growth and income.
- ▶ **GROWTH WITH INCOME OBJECTIVE:** Seeks long-term growth of capital primarily by investing in a diversified mutual fund portfolio consisting of equity exposure and income through the use of U.S. government and global corporate bonds.
- ▶ **GROWTH OBJECTIVE:** Seeks long-term growth of capital primarily by investing in a diversified mutual fund portfolio consisting of equity exposure across market capitalization and growth spectrums, including prudent exposure to international markets.

LEVEL TWO: REQUIRED MINIMUM OF \$100,000

- ▶ **I/WE WANT TO BUILD OUR OWN INVESTMENT ALLOCATION MODEL.** Complete and attach an Individual Investment Allocation form. Your financial advisor can provide you with this form and information about these investment options.

LEVEL THREE: REQUIRED MINIMUM OF \$500,000

- ▶ **I/WE WANT TO USE THE RAYMOND JAMES CONSULTING SERVICES APPROACH.** Complete and attach a Raymond James Consulting Services (RJCS) Investment Policy Questionnaire. Your financial advisor can provide you with this form as well as additional information about RJCS.

ACCOUNT SUCCESSION (This section is optional and may be modified at your request at a later date.)**Accounts may be administered in various ways upon initial funding:**

- You may name one or more Donor Advisors to your account. The donor advisors may be a spouse, relative(s) or other individual(s) who is at least 18 years of age, a corporation, or a qualified charity and may serve jointly or in succession to each other. Note: Joint advisors may submit grant recommendations independent of each other.
- You may divide the account into separate funds (provided each is funded with a minimum of \$10,000) naming separate advisors to each account.
- You may wish to leave a legacy by naming one or more organizations or areas of interest to support beyond your lifetime.

Please select your preference:

- I/We elect to name one or more organizations or areas of interest to support. Attach Legacy Giving Recommendation form(s).
- I/We elect to designate one or more Donor Advisors to make recommendations of grants to charities after the account is funded.

If naming more than one advisor, please indicate by checking appropriate box below:

- The Donor Advisors named below will serve *one after the other.*
- The Donor Advisors named below will serve *at the same time:*
- Maintaining a single account (each may make recommendations independent of other Successor Donor Advisor(s)).
- Splitting the account into separate portions. (Please indicate in Successor Donor Advisor information below the percentage of each share. Must total 100%.)

Please provide Successor Donor Advisor information below. (If necessary, attach additional Donor Advisor information on a separate sheet of paper.)

1. _____
 Name Relationship to Donor, if individual

 Social Security or Tax ID number Date of birth Daytime phone number

 Street address City, state and ZIP

If opting to split the account into separate portions, indicate percentage of share for Donor Advisor 1: _____%2.

 Name Relationship to Donor, if individual

 Social Security or Tax ID number Date of birth Daytime phone number

 Street address City, state and ZIP

If opting to split the account into separate portions, indicate percentage of share for Donor Advisor 2: _____%

If naming more than two successors, attach additional pages. If so, percentage for successor(s) attached: _____%

Total = 100%

SIGNATURES

I acknowledge that I have received and read the Raymond James Charitable Donor Advised Fund Disclosure Brochure and agree to its terms and/or conditions described therein. I hereby certify that to the best of my knowledge all information presented in connection with this application is accurate and I will notify Raymond James Charitable promptly of any changes. **IMPORTANT:** I understand that any contribution, once accepted by the Trustee, represents an irrevocable contribution to Raymond James Charitable and is not refundable to me.

 Donor signature Date

 Joint Donor signature Date

HOW TO MAKE A GIFT FUND CONTRIBUTION – These instructions cover contributions of cash and public securities to Raymond James Charitable. If you do not find instructions for your contribution type or if you need assistance, please call your Financial Advisor. If you currently have an account with Raymond James Charitable wish to make an additional contribution, please complete and submit the Additional Contribution form.

Contribution Type	Mail to the Gift Fund	Instructions
CASH		
Check	Donor Account Application and check	Make checks payable to: Raymond James Charitable
Wire Cash	Donor Account Application	Call for complete wiring instructions: 866.687.3863

STOCK CERTIFICATES Donor Account Application, certificate(s), Gift of Stock or Bond Certificates form, Stock/Bond Power form(s) and Raymond James form #1087, Authority to Deposit Securities. (We suggest a mail method requiring a receipt and mailing the certificate(s) and Stock/Bond Power(s) in separate envelopes.)

Submit original Letter of Instruction (see inside Gift of Stock or Bond Certificate). Endorse the Stock/Bond Power(s) exactly as name(s) appear on certificate, and we will complete the rest of the form. Sign one Stock/Bond Power per certificate. Photocopy the Stock/Bond Power as needed. Complete and submit the Raymond James form #1087, Authority to Deposit Securities.

CASH OR SECURITIES HELD AT RAYMOND JAMES OR OTHER INSTITUTION

Raymond James Accounts	Donor Account Application and the Gift of Raymond James Brokerage Assets form.
Other Institutions	Donor Account Application and the <u>original</u> Gift of Brokerage Assets form. (not mutual funds)
Mutual Funds	Donor Account Application and <u>original</u> Gift of Mutual Funds form. Check with fund company regarding signature guarantee requirements.
Dividend Reinvestment Plans	Donor Account Application and Letter of Instruction. Call us for more information.

SUBMITTING FORMS TO RJCHARITABLE@RAYMONDJAMES.COM IS THE PREFERRED METHOD OF DELIVERY

Mailing Address	Physical Delivery Address	Phone Numbers
P.O. Box 23559 St. Petersburg, FL 33742	880 Carillon Parkway St. Petersburg, FL 33716	Toll-free: 866.687.3863 Fax: 727.567.8040

rjcharitable@raymondjames.com

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