

RAYMOND JAMES Charitable

**RJ Charitable
Service Center**
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Form #

FA #

Branch #

Speed Dial #

DONOR ADVISOR INFORMATION

Primary Donor Advisor

First, Middle & Last Name

SSN or FEIN

Date of Birth

Email Address

Telephone

Mailing Address

Physical Address

Joint Donor Advisor

First, Middle & Last Name

SSN or FEIN

Date of Birth

Email Address

Telephone

Mailing Address

Physical Address

ACCOUNT TITLE

You may select any account title you wish, and you may include your name or any other name in the title. (For example: "John Smith Memorial Fund.") Your account will be titled "The [Primary Donor/Joint Donor] Family Foundation" unless you specify otherwise.

HOW DO YOU LIKE TO BE ADDRESSED IN CORRESPONDENCE TO YOU?

(i.e., Mr. & Mrs. Smith or John and Jane Smith)

FINANCIAL ADVISOR AUTHORIZATION?

Many donors desire the convenience of asking their financial advisor to submit grant recommendations on their behalf.

If you do not authorize your financial advisor to enter grants on your behalf, please check here:

ONLINE ACCESS

Our donor website provides online access for viewing your account and submitting Grant Recommendations. Each current donor advisor is assigned a user ID and password when the account is established. **If you will not be accessing the account online** and do not want to receive a user ID and password, please check here:

INITIAL CONTRIBUTION - (MINIMUM \$10,000)

Please indicate contribution type:

- Cash
- Securities (Please refer to page 4 for instructions on making a contribution and attach appropriate additional information as instructed.)

STATEMENTS

Donor advised statements are issued on a quarterly basis and may be viewed online. When the new statement is available for viewing online, we will automatically send a reminder to the email address provided above. **If you prefer to have a statement mailed to you**, please check here:

GRANT CONFIRMATIONS

A confirmation is mailed and emailed for every grant. **If you prefer not to have your grant confirmation emailed to you**, please check here:

Under policies of Raymond James Charitable, and in accordance with the anti-money laundering regulation applicable to the financial institutions that provide financial services to Raymond James Charitable, we obtain, record, and may verify information that identifies each person and entity who establishes a fund within Raymond James Charitable, and other people and entities who contribute or have access to the fund established. Raymond James Charitable and Raymond James Trust, N.A., as its trustee, will ask for the name, address, Social Security number, date of birth, and other information that will allow us to identify people with the fund. We may also ask to see driver's licenses or other identifying documents, and we may verify the information obtained.

TO BE COMPLETED BY FINANCIAL ADVISOR

Name of donor's financial advisor

Speed dial number Branch number Representative number

Are you the donor/donor advisor or family* of the donor/donor advisor? Yes No

Are you the successor donor advisor or family* of the successor donor/donor advisor? Yes No

*The definition of family for the purposes of this application is spouse, ancestor, child, grandchild, great grandchild; spouses of a child, grandchild, and great grandchild; brothers and sisters (of whole or half-blood) and brothers' and sisters' spouses.

INVESTMENT OPTIONS

Please select one of the following investment options. If no selection is made, the account will be invested in the Money Market option. You may request a change to the investment allocation as often as quarterly by submitting a Request for Change of Investment for the Raymond James Charitable board's consideration.

I/We request our contribution be invested in one of the following options.

SELECT ONE

LIBERTY MUTUAL FUND OPTION FOR ACCOUNT BALANCES OF ANY SIZE

- ▶ **MONEY MARKET OBJECTIVE:** Seeks the preservation of capital and the production of income exclusively through investment in a money market fund investing in the highest quality, very short-term debt instruments.
- ▶ **INCOME OBJECTIVE:** Seeks income and capital preservation primarily through the use of a mutual fund portfolio consisting of exposure to U.S. government and global corporate bonds.
- ▶ **INCOME WITH GROWTH OBJECTIVE:** Seeks income and provides a growth component through the use of a mutual fund portfolio consisting of exposure to U.S. government and global corporate bonds and a modest exposure to diversified common stocks.
- ▶ **BALANCED OBJECTIVE:** Seeks to provide a balanced allocation through the use of a mutual fund portfolio providing both growth and income.
- ▶ **GROWTH WITH INCOME OBJECTIVE:** Seeks long-term growth of capital primarily by investing in a diversified mutual fund portfolio consisting of equity exposure and income through the use of U.S. government and global corporate bonds.
- ▶ **GROWTH OBJECTIVE:** Seeks long-term growth of capital primarily by investing in a diversified mutual fund portfolio consisting of equity exposure across market capitalization and growth spectrums, including prudent exposure to international markets.
- ▶ **ENVIRONMENTAL, SOCIAL & GOVERNANCE (ESG) BALANCED OBJECTIVE:** Seeks long-term capital appreciation with strong growth potential through investments with best-in-class environmental, social and governance practices.

INVESTMENT ADVISOR PROGRAM (IAP) OPTION FOR ACCOUNT BALANCES OF \$500,000 OR MORE

- ▶ **I/WE WANT TO USE THE INVESTMENT ADVISOR PROGRAM(IAP) APPROACH.** Complete and attach the IAP Agreement. Your financial advisor can provide you with this form.

FA #

ACCOUNT SUCCESSION (This section is optional and may be modified at your request at a later date.)

Accounts may be administered in various ways after the death, resignation or incapacity of the initial donor advisor(s):

- You may name one or more Successor Donor Advisors to your account. The Successor Donor Advisors may be a spouse, relative(s) or other individual(s) who is at least 18 years of age, and may serve jointly of in succession to each other. Note: Joint Successor Donor Advisors may submit grant recommendations independent of each other.
- You may elect to divide the account into separate funds (provided each is funded with a minimum of \$10,000) naming separate advisors to each account.
- As an alternative to naming successor advisors, you may leave a legacy by naming one or more organizations or areas of interest to support beyond your lifetime.

Please select your preference:

I/We elect to name one or more organizations or areas of interest to support. *Attach Legacy Giving Recommendation form(s).*

OR

I/We elect to designate one or more Successor Donor Advisors to make recommendations for grants to qualified charities after the death, resignation or incapacity of the surviving Donor Advisor.

If naming more than one Successor Donor Advisor, please indicate by checking appropriate box below:

If no selection is made, the successor donor advisors will serve one after the other.

- The Successor Donor Advisors named below will serve one after the other.
- The Successor Donor Advisors named below will serve at the same time.
 - Maintaining a single account (each may make recommendations independent of other Successor Donor Advisor(s)).
 - Splitting the account into separate portions. (Please indicate in Successor Donor Advisor information below the percentage of each share. Must total 100%.)

Please provide Successor Donor Advisor information below.

(If necessary, attach additional Successor Donor Advisor information on a separate sheet of paper.)

Successor Donor Advisor 1

Name Relationship to Donor, if individual

Social Security or Tax ID Number Date of birth Daytime Phone Number

Street address City State ZIP

If opting to split the account into separate portions, indicate percentage of share for Successor Donor Advisor 1: _____ %

Successor Donor Advisor 2

Name Relationship to Donor, if individual

Social Security or Tax ID Number Date of birth Daytime Phone Number

Street address City State ZIP

If opting to split the account into separate portions, indicate percentage of share for Successor Donor Advisor 2: _____ %

If naming more than two successors, attach additional pages. If so, percentage for successor(s) attached: _____ %

Total = 100 %

SIGNATURES

I acknowledge that I have received and read the Raymond James Charitable Donor Advised Fund Disclosure Brochure and agree to its terms and/or conditions described therein. I hereby certify that to the best of my knowledge all information presented in connection with this application is accurate and I will notify Raymond James Charitable promptly of any changes. IMPORTANT: I understand that any contribution, once accepted, represents an irrevocable contribution to Raymond James Charitable and is not refundable to me.

Donor Signature Date Joint Donor Signature Date

HOW TO MAKE A CONTRIBUTION - These instructions cover contributions of cash and public securities to Raymond James Charitable. If you do not find instructions for your contribution type or if you need assistance, please call your financial advisor.

Contribution Type	Send to Raymond James Charitable	Instructions
Cash		
Check	Donor Account Application and check	Make Checks payable to: Raymond James Charitable
Wire Cash	Donor Account Application, form #02781, and the Gift of Outside Assets form on RJnet.	Send form #02781 through Service Center and email completed Gift of Outside Assets form to RJCharitable@RaymondJames.com
Securities		
Raymond James Accounts	Donor Account Application and the Gift of Raymond James Brokerage Assets form, form #02783	Submit completed forms to Raymond James Charitable through Service Center.
Other Institutions	Donor Account Application and the Gift of Outside Assets form on RJnet.	Send form #02781 through Service Center and email completed Gift of Outside Assets form to RJCharitable@RaymondJames.com
Mutual Funds held at the fund company	Donor Account Application and original Gift of Mutual Funds form <i>Check with fund company regarding signature guarantee requirements</i>	Original Forms are required
Dividend Reinvestment Plans	Donor Account Application and Letter of Instruction	Call for more information: 866.687.3863
Stock Certificates		
	Donor Account Application, certificate(s), Gift of Stock or Bond Certificates form, Stock/Bond Power form(s) and Raymond James form #1087, Authority to Deposit Securities. (We suggest a mail method requiring a receipt and mailing the certificate(s) and Stock/Bond Power(s) in separate envelopes.)	
	Submit original Letter of Instruction (see inside Gift of Stock or Bond Certificate). Endorse the Stock/Bond Power(s) exactly as name(s) appear on certificate, and we will complete the rest of the form. Sign one Stock/Bond Power per certificate. Photocopy the Stock/Bond Power as needed. Complete and submit the Raymond James form #1087, Authority to Deposit Securities.	

Please contact RJCHARITABLE@RAYMONDJAMES.COM or 866-687-3863 or ext 77221 with any questions.