

DAF Investment Advisor Program (IAP) Agreement

RAYMOND JAMES Charitable

RJ Charitable
Service Center
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Form #

FA #

Branch #

Speed Dial #

Account Information

RJ Charitable DAF Account Name

Fund ID #

- ☐ This is my initial IAP Agreement for a NEW donor advised fund
☐ This is a request to update my existing IAP Agreement
☐ This is a request to CHANGE my current DAF investment choice to the IAP option
☐ This is an IAP Agreement to be used in the future (planned gift)

Nominated Financial Advisor (FA) Information

FA Name

FA #

Branch #

FA Phone Number

FA Email

Fees

The Investment Advisor Program (IAP) fee structure includes two components, the Administration Fee plus the Financial Advisor Fee, and is based on the daily average market value of the total DAF balance

The **Administration Fee** is determined by the following annual schedule:

- **0.55%** on the first \$500,000
- **0.40%** on the next \$500,000
- **0.20%** on the next \$1.5M
- **0.15%** over \$2.5M

If the market value of the account falls below \$500,000, the minimum annual fee is \$2,750 or \$229.17 per month.

The **Financial Advisor Fee** for this DAF account is: _____ % (may not exceed 1.0%)

Depending on the investment approach chosen, additional fees and expenses may apply. These may include mutual fund expense ratios, or third-party manager fees (i.e. AMS, RJCS, Eagle). The Financial Advisor is responsible for disclosing the chosen investment approach and any additional fees, if applicable, to the donor advisor(s).

Investment Allocation Target (please select one objective): ☐ Income (0-20% equity) ☐ Income Primary (21-40% equity)
☐ Balanced (41-60% equity) ☐ Growth Primary (61-80% equity) ☐ Growth (81-100% equity)

Liquidity Reserve: Please note that accounts using the IAP investment option require at least 1% of the assets be held apart from the invested funds in the money market Liquidity Reserve to cover fees and any near-term grants to charity. Please indicate the *initial* percentage to hold in the Liquidity Reserve for the near-term grants and fees. (must be at least 1.0%). _____ %

Donor Advisor Signatures

By signing below, I acknowledge that I have read the current Raymond James Charitable Donor Advised Fund Disclosure Brochure and this Investment Advisor Program (IAP) Agreement and agree to the terms and conditions described therein, and agree to the fee arrangement described above.

Donor Signature	Donor Print Name	Date
Joint Donor Signature (if applicable)	Joint Donor Print Name (if applicable)	Date

To Be Completed By Financial Advisor

By signing below, you acknowledge that you have read the current Raymond James Charitable Donor Advised Fund Disclosure Brochure, the Investment Advisor Program (IAP) Investment Guidelines and this Investment Advisor Program (IAP) Agreement and agree to the terms and conditions described therein, and agree to the fee arrangement described above.

Are you the donor/donor advisor or a family member of the donor/donor advisor? ☐ Yes ☐ No

Are you the successor donor advisor or a family member of the successor donor advisor? ☐ Yes ☐ No

For the purposes of this program, a family member is defined as a spouse, ancestor, child, grandchild, great grandchild; spouses of a child, grandchild, and great grandchild; brothers and sisters (of whole or half-blood) and brothers' and sisters' spouses. Per IRS rules, financial advisors that are related to the donor advisor may not be compensated for their services.

Financial Advisor Signature	Date
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Please contact RJCharitable@RaymondJames.com , toll free 866-687-3863 or ext. 77221 with any questions.